

PATENTS

EXPRESS MAIL CERTIFICATION

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and is addressed to the: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on October 2, 2003.

Jennifer Connington
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Express Mail Label EV 973658230 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket No: 101221-651

Examiner : to be assigned
Group Art Unit : to be assigned
Applicant : Thomas KAROL, Steven DONNELLY
Appl. No. : to be assigned
Provisional Appl. No. : 60/416,061
Filed : herewith
For : Synergistic Organoborate Compositions and Lubricating Compositions Containing Same

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The following sections are being submitted for this Information Disclosure Statement:

Sec. 1 - Preliminary Statements
Sec. 2 - Identification of Time of Filing
Sec. 4 - Statements with Respect to Listing of Information
Sec. 5 - Statements with Respect to Translations of Non-English Information
Sec. 6 - Statements with Respect to Copies of Listed Information Items Accompanying
This Statement
Sec. 8 - Concise Explanation of Listed Information Items

Section 1
Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56 it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

Section 2
Identification of Time of Filing

This Information Disclosure Statement is filed within the first three (3) months of filing and before the issuance of the first official action.

Section 4
Statements with Respect to Listing of Information

A list of the patents and publications is set forth on the attached Form PTO-1449 - 1 page (modified).

Section 5
Statements with Respect to Translations of
Non-English Information

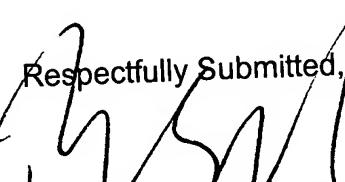
All references disclosed are English language references.

Section 6
Statements with Respect to Copies of Listed Information
Items Accompanying This Statement

A copy of each of the items on PTO-1449 (modified) is supplied herewith.

Section 8
Concise Explanation of Listed Information Items

All references listed on the attached Form PTO-1449 are referred to in the specification,
which indicates the degree of relevance.


Respectfully Submitted,
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**LIST OF PATENTS AND PUBLICATIONS
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT
(Form PTO-1449 Modified)**

Attorney Docket: 101221-651	Serial No. to be assigned
Applicant: Thomas KAROL, Steven DONNELLY	
Filing Date: herewith	Group: to be assigned

U.S. PATENT DOCUMENTS

Examiner's Initial		Document Number	Date	Name	Class	Sub Class	Filing Date If appropriate
	AA	4,389,322	06/21/83	Horodysky	C10M	1/10	06/22/81
	AB	5,641,731	06/24/97	Baumgart et al.	C10M	131/04	05/31/95
	AC	2003/0119682	06/26/03	Saini et al.	C10M	161/00	07/29/02
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Translation Yes N
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AT	
AU	
AV	
AW	
AX	

EXAMINER:

DATE CONSIDERED:

- EXAMINER: Initial if Reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.